

VPM's
DR VN BRIMS, Thane
Programme: MMS (2016-18) (Finance)
Third Semester Examination October 2017

Subject	Financial Regulations		
Roll No.		Marks	60 Marks
Total No. of Questions	7	Duration	3 Hours
Total No. of printed pages	1	Date	28.10.2017

Note: Q1 is compulsory and solve any FOUR from the remaining SIX questions.

Q1) 20 Marks (Compulsory)

Draft a case on any one scam related to Money Laundering in India and explain it thoroughly with reference to the above; explain the provisions created related to Anti-Money Laundering in the aftermath of such scams

Attempt Any FOUR from the Remaining SIX Questions

Q2) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) Explain the role of the Reserve Bank of India
- b) What is meant by Re-insurance? Explain in detail
- c) Describe the features of Money Market

Q3) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) What is meant by Money Laundering?
- b) Explain the different types of Insurance available in markets
- c) Explain the functions of the Reserve Bank of India

Q4) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) Write a short note on SEBI
- b) Explain the Financial System in India
- c) Write a short note on Financial Inclusion

Q5) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) Explain briefly some provisions of the AML Act
- b) "The RBI is a banker of Banks" Do you agree with the above statement? Discuss
- c) Write a short note on the provisions related to Issue of Shares by SEBI

Q6) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) Write a short note on IRDA
- b) What do you mean by General Insurance?
- c) What is Credit Rating?

Q7) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks

- a) Write a short note on KYC Norms in India
- b) Discuss the Credit Rating process in detail
- c) Write a short note on the different Credit Rating agencies in India