

VPM's

DR VN BRIMS, Thane

Programme: PGDM (2014-16) Fourth Batch

Fifth Semester Examination January 2016

Subject	Security analysis and portfolio management		
Roll No.		Marks	60 Marks
Total No. of Questions	7	Duration	3 Hours
Total No. of printed pages	2	Date	16.01.2016

**Note: Q1 is compulsory and solve any FOUR from the remaining SIX questions.**

**Q1) 20 Marks (Compulsory)**

“Investment is well-grounded and carefully planned speculation”  
Examine this statement in light of Portfolio Management.

**Attempt Any FOUR from the Remaining SIX Questions**

**Q2) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- What are the characteristics that an investor would like to have in investment option?
- What is a financial market?
- What is Merchant Banking?

**Q3) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- Distinguish between Investment and Speculation
- Who are the participants in the financial markets?
- Explain the role of SEBI in regulating the capital markets

**Q4) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- Briefly describe the avenues that are available to investors for investment
- “Capital formation takes place in the primary markets” Discuss this statement
- Distinguish between money market and financial market

**Q5) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- Write a short note on functions of stock exchanges
- What is meant by “Listing of stocks”
- Discuss the salient features of the corporate debt market in India.

**Q6) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- Write a short note on the importance of financial statement analysis
- Explain the process of Portfolio Revision
- Explain the process of Portfolio Evaluation

**Q7) Any two from (a) or (b) or (c) ————— (5x2) = 10 Marks**

- Explain the different types of leverage ratios
- Discuss how behavioral finance is related to Investment pattern of an individual
- What is Risk-Return Tradeoff?