

VPM's
DR VN BRIMS, Thane
Programme: PGDM (2016-18) (Finance)
PGDM Trimester V Examination December 2017

Subject	Corporate Law		
Roll No.		Marks	60 Marks
Total No. of Questions	7	Duration	3 Hours
Total No. of printed pages	1	Date	30.12.2017

INSTRUCTIONS:

1. There would be seven questions in all .Q1 would be compulsory and would carry 20 marks. In addition to Q.1 there would be six questions .Each question would carry 10 marks each of these six questions will have three sub questions and each sub question would carry 5 marks .Attempt any four out of the remaining six questions and within each question students have to attempt any two out of three sub questions.

In all students have to attempt five questions ie (Q.1 + any four of the remaining)

2. Give illustrations, case laws, quote sections where necessary.
3. Marks for each question are shown in brackets on the right side

Q.1 is Compulsory and carries 20 Marks.

- Q1 Explain the Purpose, Functions and Powers of SEBI and give the overview of SEBI v/s Sahara's matter. (20)

Attempt **Any four** out of the remaining Six Questions:

Q.2 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) Powers of the tribunal and the appellate tribunal under DRT.
- (b) Procedure Under Section 19 on how to file an application in DRT.
- (c) Appeal to the appellate tribunal under DRT.

Q.3 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) Doctrine of indoor management and Constructive notice.
- (b) Debentures and shares
- (c) What do you mean by depository and Depository participant.

Q.4 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) Explain recovery of money under S.138 of the Negotiable instrument act.
- (b) Explain Summary Procedure
- (c) Write a note on NBFC.

Q.5 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) How does Money Laundering take place?
- (b) What is schedule offence?
- (c) What are the actions which can be taken against person involved in money laundering?

Q.6 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) Explain the Concept of Limitation act
- (b) Difference between FERA and FEMA
- (c) Procedure to file a complaint under DRT.

Q.7 Any Two from (a) or (b) or (c) _____ (5X2) =10 Mks

- (a) Explain in detail the Expression "Insider" under provisions of insider trading regulations 2015
- (b) Explain in detail the Expression "immediate relative" under provisions of insider trading regulations 2015
- (c) Explain in detail the provisions relating to trading when in possession of UPSI.