



Dr. V. N. Bedekar Institute of Management, Thane
Teaching Plan (MMS)
Academic Year (2020-2021)

Programme Name: MMS

Name of the Course: Financial Markets and Institutions

**Maximum marks: 100 No. of Sessions: 13 sessions of three hours PLUS
1 HOUR TEST**

Name of the Faculty: Dr. Prof .Mrs. Smita Jape

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Weblink:

Ebooks :-

<https://www.theresistanceunited.com/book/show/334604-financial-institutions-and-markets-amp-from-srp-true-amp-qid-crxhe9ryfm-amp-rank-915>

<https://library.ccis.edu/finance/markets>

https://books.google.co.in/books?id=8zWrDwAAQBAJ&pg=PT126&source=gbs_selected_pages&cad=2#v=onepage&q&f=false

Program Outcomes (PO)	Course Outcomes (CO)
<p>1.Apply knowledge of management theories and practices to solve business problems.</p> <p>2.Foster Analytical and critical thinking abilities for data-based decision making.</p> <p>3.Ability to develop Value based Leadership traits.</p> <p>4.Ability to understand, analyse and communicate global, economic, legal, and ethical aspects of business.</p> <p>5.Ability to lead themselves and others in the achievement of organizational goals, contributing effectively to a team environment.</p> <p>6.Ability to understand and apply latest technologies in creating managerial solutions.</p>	<p>1.To understand different components of the Indian Financial system and their functions.</p> <p>2.To comprehend and analyse returns from various products issued through different financial institutions in the primary and secondary markets and decide which are better for different income group</p> <p>3.To apply concept and theories of bond market and create portfolio with investment in Bond market and the different instruments and concepts related to it.</p> <p>4.To apply the basic concepts learned about fundamental analysis used in financial Markets for investment decisions.</p> <p>5.Analyse various types of mutual funds investments and returns for decisions about investment</p>



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	6. Apply financial concept of Debt, equity, forex and other markets in business context
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Reference Books:

- 1 Fabozzi, The Handbook of Fixed Income Securities.
- 2 Anthony Saunders, Financial Markets and Institutions.
- 3 Meir Kohn, Financial Institutions & Markets.
- 4 Avadhani L.M, Financial Markets and Institutions
5. Dr. Smita Jape, Financial Markets and Institutions

A. Plan:

<u>Sess ion No.</u>	<u>Pl. Dat e</u>	<u>Topic</u>	<u>Ref. Study Material</u>	<u>Course Outcomes</u>
<u>1</u>	<u>6 Aug 202 0</u>	Historical evolution of IFS. Different components of the financial system and their functions Financial markets - . 5five pillars of Indian economy Economic indicators	Books referred/ Recommended/ References- Print/Articles/ News/Research papers/ Online database/ Software /Simulations used Lecture and discussion	To understand different components of the Indian Financial



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				system and their functions
<u>2</u>	13 August	Role of the Central Bank – RBI’s monetary policy in its proper perspective in the overall IFS.	Theoretical discussion and explanation Article of SBI ,Central bank bank merger of banks Article and news about Nifty Fifty SENSEX/	Apply concepts in understanding impacts on real economy of country Know current trends CRR/SLR/Economic indicators and make them understand role of RBI in Indian economy and changes made due to COVID Situations
<u>3</u>	20 August 2020	Stock Exchanges of the Country and Primary Markets. Evolution of stock exchange and their role in shaping the financial scenario of a country BSE,NSE,OTC,Stock exchanges	Exercises Case study Tatasons going from Public ltd to private ltd Case study Harshad mehta Ketan parikh scam in stock exchange IPO,	To comprehend and analyse returns from various products issued through different financial institutions in the primary and



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				secondary markets and decide which are better for different income group
<u>4</u>	27 August	Secondary Markets Products involved in markets, institutions involved, broking houses, role and responsibilities of broker	Create portfolio with stock /different investment options and find better from return perspective	To apply the basic concepts learned about fundamental analysis used in financial Markets for investment decisions.
<u>5</u>	3 sept	Book Building process Factors affecting stock market clearing house mechanisms and clearing corporation, broking houses and portfolio management services.	Theoretical discussion and Article on Comparisons of NSE/BSE / should it merge like Japan Tokyo Stock exchange	Understand various components of stock exchanges
<u>6</u>	10 sept	Emerging Markets and Products Alternate finance products and players, such as crowd funding, ELSS equity linked saving scheme product to product finance, interest-free financial products, thematic indexes.	Theoretical discussion and exercises Thematic funds	To apply the basic concepts learned about fundamental analysis used in financial Markets for investment decisions. decide about types of funds by doing analysis
<u>7</u>	17 sept 202	Derivatives Products- Forwards, futures, options and swaps, Financial market activities –	Presentations and Article about Index funds and	Understand various components of Derivative markets



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	0	speculation, hedging and arbitrage	ETF (12 ISSUED BY PSU)	
8	24 sep t 202 0	Fixed income securities Bond characteristics or features bond types, coupon types, computation of different yields and bond price, relationship between yield and price, floaters and inverse floaters.	Theoretical discussion and problem solving's On calculations of YTM Bond Valuations	Evaluate YTM and decide which alternatives gives better returns
9	1oc tob er 202 0	Fixed income securities Spot rates and forward rates, zero coupon yield curve, theories of term structure of interest rates. duration, modified duration, convexity and price value of basis point.	Theoretical discussion and problem solving On spot rate forward Forward rate Coupon	Apply financial concept of fixed income security markets in business context by learning calculations of YTM by using spot as well as Forward Rates Calculate modified duration and convexity
10	8 oct 202 0	Foreign Exchange Markets:	Problems and exercises with theoretical discussion Of Currency and	Understand working of forex market and related



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			PPP theory of foreign exchange	projects done
<u>11</u>	15 Oct 2020	General understanding of currency markets and its role in the financial system	http://prof.it.ndtv.com/ http://www.researchersworld.com/vol2/issue4/Paper_6.pdf	Apply financial concept of forex markets in business context
<u>12</u>	22 Oct 2020	Mutual funds, insurance firms types of mutual funds	Assignment discussion Presentations on Types of mutual funds Commercial investment banks	analyse and interpret ate and use Various mutual fund houses for decision making Analyse various types of mutual funds investments and returns for decisions about investment
13	29 oct 2020	commercial banks and investment banks ,role functions of investment banks , difference between commercial and investment banks	Assignment discussion Presentations of students on Insurance firms , hedge funds	Decision making on Top funds/firms
			Test of one hour	



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2. Student centric methods, such as experiential learning, participative learning and problem solving methodologies are used for enhancing learning experiences: -

<u>Sr. No.</u>		<u>Topic Covered</u>	<u>Learning outcomes</u>
Any other activity research papers	Managing Finances	an eye opening article case study revealing rare case of conversion of public ltd company to private ltd	<u>Economic times</u> <u>Mint</u> <u>www.capitalmarket.com</u> <u>9. www.bseindia.com</u>
Industry Visit			
Academic Projects	CASE Study and projects on forensic audits/Equity markets/IFRS /stock market/banks	will apply fundamental analysis	<u>www.indiainfoline.com</u> <u>2. www.myiris.com</u> <u>3. www.finweb.com</u> <u>4. www.finance-research.net</u>
Book Review	-----		
Group Discussion/Prese ntaion by an expert in banking industry	Careers in Banking sectors	profiles available in Banking sectors	
Business Quiz / Business News sharing	Business News sharing Thematic Funds VIRTUAL CFOS/	role of finance through virtual Chief financial officers	<u>http://www.moneycontrol.com</u> <u>L</u>
Videos / Simulation/Gust Lecture	Gust Lecture on carriers in Financial markets	Will understand various options profiles available in Financial markets	<u>5. www.emergingfinance.ch</u> <u>6. www.spreadsheetmodeling.com</u> <u>7. www.financialmanagement.com</u>
Use of Softwares and Labs	<u>USE of IT for Trackers</u> <u>Virtual trading</u> <u>Portal stock fox</u>	<u>Will learn about</u> <u>mock trading</u>	<u>https://www.screener.in/</u>



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Use of ICT for effective teaching with Learning Management Systems (LMS), E-Learning resources etc.: -

<http://economictimes.indiatimes.com/>
<https://www.screener.in/>

Screener will be used for companies fundamental analysis

Innovation and Creativity in teaching- learning: -

Actual data and annual reports of companies used for study. Also told students to share one article related to topic from newspaper.

Suggestions (if any) to students on subject related Certificate/Diploma or Add-on program: -

National Stock Exchange certified capital Market Professional
NISM Modules
NCCMP
Certificate in excel/Advanced Excel —Lynda/coursia

B. Practical Approach: Other activities(presentations)

Sr. No.	Activity Name	Topic Covered	Learning outcomes	Source
1	Role Play			
2	Industry Visit			
3	Academic Projects	analysis AXIS/ HDFC/ICICI Bank ITC/HUL	Will learn norms of BASEL and credit agencies and various parameters will understand to read and interpret different ratios and chart	



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1	Book Review--- chapter review Article reviews of business magazines Literature review writing of papers of financial management	Bonds Mutual funds PSU BANKS	Book Reviews	
2	Group Discussion Crisil report of companies	Merger snag of AIR India reasons ---Aviation Industry suffering from higher operating losses.	investigate the reasons behind the failure of the jet Kingfisher airline reasons --- Aviation Industry suffering from higher operating losses	
3	Business News sharing	/financial performance/	Current updates of Business News on CS/PE/EPS/MKT CAP	
4	Videos / Simulation	https://youtu.be/j83uxFSDdF4	Videos on Bond valuation / Screener/mock stock	
5	Use of Softwares and Labs		<u>UPSTOCK website</u>	
6	Any other activity research papers		Will understand the recent research carried out in the topics and its relevance in present context	
7	Videos / Simulation			
8	Use of Softwares and Labs			
9	Any other activity		Will understand	



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	research papers		the recent research carried out in the topics and its relevance in present context	
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C. Innovative pedagogy adopted:

- 1.** Use of tree formats to learn understand and remember concepts
- 2.** Use of current article from newspaper in every session

Magazines to Refer:

1. Dalal Street
2. Outlook Money
3. Business India
4. Business Today
5. The Economist

Business Newspapers to be read:

1. The Economic Times ,2. Financial Express
3. Business Line 4. Live Mint,5. Business Standard

Prepared by: Dr Smita Jape
Faculty

Approved by:
Specialisation Head

Date:

Date:

A. Execution:

<u>Session No.</u>	<u>Actual Date</u>	<u>Topic Covered</u>	<u>Evaluation Method</u>	<u>Case Study Ref.</u>	<u>Quiz Ref.</u>	<u>CR Sign</u>



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B. Evaluation:

Component	Details	Marks
Presentations	Mandatory	10
Class Test	Mandatory (MCQ, Descriptive, Etc)	20
Assignments / Others	Mandatory	5
Participation/Attendance	Mandatory	5
Final Exam	Mandatory	60

Comments / Suggestions / Recommendations:

Suggestions (if any) to students on subject related Certificate/Diploma or Add-on program: -



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Signature of Faculty
Signature of the Co-ordinator

